

SHAREHOLDING PATTERN

BAFNA PHARMACEUTICALS LTD	
SCRIP CODE : 532989	QUARTER ENDING : DEC 2017

SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category of share holder	Nos. of Share holders	No. of fully paid up Equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No. of Shares Underlying Outstanding convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
						No.(a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A) Promoter & Promoter Group	8	62,35,865	62,35,865	33.42	-	-	0.00	31,82,556	51.04	62,35,865
(B) Public	8781	1,24,20,435	1,24,20,470	66.58	-	-	0.00	-	0.00	123,03,221
(C1) Shares underlying DRs	-	-	-	0.00	-	-	0.00	-	0.00	-
(C2) Shares held by Employee Trust	-	-	-	0.00	-	-	0.00	-	0.00	-
(C) Non Promoter-Non Public	-	-	-	0.00	-	-	0.00	-	0.00	-
Grand Total	8789	1,86,56,335	1,86,56,335	100.00	-	-	0.00	31,82,556	17.06	1,85,39,086

NOTE C = C1+C2

GRAND TOTAL = A+B+C

BAFNA PHARMACEUTICALS LTD.




 Company Secretary
 Company Secretary

Table II

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (II)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV + V + VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
1. INDIAN																	
a. Individuals/Hindu undivided Family		5	2955597	0	0	2955597	15.84	2955597	0	2955597	15.84	0	0	2054984	32.95	2955597	
Names:-																	
MAHAVEER CHAND.BAFNA	AACP8641G		1485078			1485078	7.96	1485078	0	1485078	7.96	0	0	1485078	23.82	1485078	
PARAS BAFNA	AASP82717M		911671			911671	4.89	911671	0	911671	4.89	0	0	411671	6.6	911671	
NAVEENBAFNA M	APIPB8787J		400121			400121	2.14	400121	0	400121	2.14	0	0		0	400121	
MAHAVEER CHAND.BAFNA HUF	AAADHM1755R		158235			158235	0.85	158235	0	158235	0.85	0	0	158235	2.54	158235	
PARAS BAFNA	AAEHP3540E		492			492	0	492	0	492	0	0	0		0	492	
b. Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. ANY OTHER																	
d. Directors and their Relatives		3	3280268	0	0	3280268	17.58	3280268	0	3280268	17.58	0	0	1127572	18.08	3280268	
Names:-																	
CHETNA BAFNA	AIOP88547R		2132805			2132805	11.43	2132805	0	2132805	11.43	0	0		0	2132805	
SASIKALA BAFNA	AASP82718E		627572			627572	3.36	627572	0	627572	3.36	0	0	627572	10.06	627572	
AMRI BAI BAFNA	ABAP80739A		519891			519891	2.79	519891	0	519891	2.79	0	0	500000	8.02	519891	
Sub-Total (A)(1)		8	6235865	0	0	6235865	33.42	6235865	0	6235865	33.42	0	0	3182556	51.04	6235865	
2. FOREIGN																	
Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b. Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f. ANY OTHER																	
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	6235865	0	0	6235865	33.42	6235865	0	6235865	33.42	0	0	3182556	17.06	6235865	

Details of Shares which remain unclaimed may be given near along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)			
								Class	X	Y					Total	No. (a)		As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)
1. INSTITUTIONS																				
a. Mutual Funds/ Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
b. Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
c. Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
d. Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
e. Financial Institutions/ Banks		1	35	0	0	35	0	35	0	0	0	0	0	0	0	0				
f. Insurance Companies/ Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
g. ANY OTHER Market Maker		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Sub-Total (B)(1)		1	35	0	0	35	0	35	0	0	0	0	0	0	0	35				
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
a. Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
3. NON-INSTITUTIONS																				
a. INDIVIDUALS - I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		8105	5050538	0	0	5050538	27.07	5050538	0	5050538	27.07	0	0	0	0	4938289				
II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		46	4415824	0	0	4415824	23.67	4415824	0	4415824	23.67	0	0	0	0	4415824				
Names:																				
AAEBC2637B RAMESH KUMAR CHOPRA			383250	0	0	383250	2.05	383250	0	383250	2.05	0	0	0	0	383250				
AAEPV2610D SHASHI KUMAR			308435	0	0	308435	1.66	308435	0	308435	1.66	0	0	0	0	308435				
AAUPG6598E GYANWAL JAIN			340000	0	0	340000	1.82	340000	0	340000	1.82	0	0	0	0	340000				
AAUPG6598E KUSUM JAIN			302518	0	0	302518	1.62	302518	0	302518	1.62	0	0	0	0	302518				
AGNTP09537E NISHA JGNESH MEHTA			550620	0	0	550620	2.95	550620	0	550620	2.95	0	0	0	0	550620				
AWBP38931K SUBRAMANIAN P. with RBI			419973	0	0	419973	2.23	419973	0	419973	2.23	0	0	0	0	419973				
b. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
d. ANY OTHER		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
e. BODIES CORPORATE		137	1243061	0	0	1243061	6.66	1243061	0	1243061	6.66	0	0	0	0	1238051				
Names:																				
KRYPTON INVESTMENT CONSULTANCY PRIVATE LIMITED			235853	0	0	235853	1.26	235853	0	235853	1.26	0	0	0	146447	235853				
AAADCK0142R HINDU UNDIVIDED FAMILIES		75	114156	0	0	114156	0.61	114156	0	114156	0.61	0	0	0	0	114156				
NR1 - Non-Repatriation		240	513621	0	0	513621	2.75	513621	0	513621	2.75	0	0	0	0	513621				
NR1 - Repatriation		17	29309	0	0	29309	0.16	29309	0	29309	0.16	0	0	0	0	29309				
Sub-Total (B)(3)		60	1053926	0	0	1053926	5.65	1053926	0	1053926	5.65	0	0	0	0	1053926				
Names:																				
ASGPD0521L DHIRENDRA SOMAIYA			328200	0	0	328200	1.76	328200	0	328200	1.76	0	0	0	0	328200				
AZSFR4233E LINDAJEET KAILI RUPRA			667352	0	0	667352	3.58	667352	0	667352	3.58	0	0	0	0	667352				
Sub-Total (B)(3)		6740	12420435	0	0	12420435	66.57	12420435	0	12420435	66.57	0	0	0	0	12303186				
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		8781	12420470	0	0	12420470	66.59	12420470	0	12420470	66.59	0	0	0	0	12303221				

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.